

PLAN FOR COMPLIANCE LICENCE NO. 3BM-CAP0810 (EXPIRED)

| Licence Condition | | Status of Compliance | Short Term Plan for Compliance | Schedule for Implementation of Short Term Plan for Compliance (including funding status where applicable to municipal developments) | Long Term Plan for Compliance | Schedule for Implementation of Long Term Plan for Compliance (including funding status where applicable to municipal developments) |
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| <u>Part B</u> | <u>General Conditions</u> | | | | | |
| B-1 | The Licensee shall file an Annual Report with the Board not later than March 31st of the year following the calendar year | Non-compliance | It is CGS's intention to ensure the communities submit the plans from 2004-2010 with all available information, operating from the most recent plan, i.e. 2010 and working backwards to the oldest plan, i.e. 2004 | <ul style="list-style-type: none"> It is CGS's intention to ensure that the annual report for 2012 be submitted on before March 31st, 2013. A summary for the annual reports for prior years (2004 – 2010) will be submitted prior to March 31st, 2014. The community visit by exp will be held prior to the end of February 2013 with a subsequent report issued prior to March 31st, 2013 Report will include operational and capital recommendations | GN will monitor of ensure Annual Report submission by March 31 st . This monitoring will include weekly checks of the Hamlet's log sheets, along with monthly water committee meeting to discuss and action any issues. | All outstanding Annual Reports submitted on or before March 31 st 2014. |
| B-2 | The Licensee shall comply with the Monitoring Program described in this Licence, and any amendments to the Monitoring Program as may be made from time to time, pursuant to the conditions of this Licence. | Non-compliance - Please refer to section H for specific compliance issues | <ul style="list-style-type: none"> preparation of a QA/QC plan which identifies sampling protocols and testing requirements Develop a monitoring action plan for the community which meets the requirements of the water licence recommendations with regards to a new training program for the monitoring program will be made as part of the community visit report | <ul style="list-style-type: none"> Action Plan including procedures and QA/QC protocols prepared by June 1st, 2013 Recommendations regarding training program submitted to CGS by March 31st, 2013 Training program to be started summer 2013 | Develop a training plan for a TRAIN THE TRAINER to be delivered in 2013/14 for GN staff All reports to be held in a central data base accessible to GN staff for review and action | All samples completed in accordance with the water licence and submitted on or before March 2014 for the 2013 season and in the years forward |
| B-6 | The Licensee shall submit to the Board, for approval in writing, within the lesser of ninety (90) days or the filing of any application in relation to the Licence, a Plan for Compliance that clearly demonstrates the ways and means the Licensee will undertake to achieve full compliance with the conditions of this Licence. | Non-compliance | <ul style="list-style-type: none"> CGS has retained the services of exp to assist with the preparation of a compliance plan. Draft Compliance Plan will be reviewed with AANDC during preparation | Compliance plan will be submitted prior to November 2, 2012. | <ul style="list-style-type: none"> Annual review of need for preparation of a new Compliance Plan. Prepare Compliance Plans as required | On or before March 31 st of each year. |

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| B-10 | The Licensee shall ensure a copy of this Licence is maintained at the municipal office at all times. | In Compliance | Status of this condition will be confirmed during site visit. | Prior to March 15, 2013. | | |
| Part F | <u>Conditions Applying To Operation And Maintenance</u> | | | | | |
| F-1 | The Licensee shall submit to the Board, for approval in writing, within ninety (90) days of issuance of the Licence and prior to commissioning of the 2007 Sewage Disposal Facilities, a revised Operation and Maintenance Manual, Sewage Treatment System, Hamlet of Cape Dorset, November 7, 2007. The revision shall include the requirements of Schedule 2. | Non-Compliance | <ul style="list-style-type: none"> • Status of O&M Manual (Sewage) to be reviewed during site visit. Report subsequent to visit. • Prepare O&M Manual (Sewage) as required based on site visit findings. | <ul style="list-style-type: none"> • Report status of O&M Manual prior to March 15, 2013. • Geotechnical inspection if required prior to Sept 1st 2013 • Prepare O&M Manual as required and submit prior to July 1st, 2014. | Annual review of status and revise if required. | Amend if required prior to March 31 st submission of Annual Report |
| F-2 | The Licensee shall submit to the Board, for approval in writing, within ninety (90) days of issuance of the Licence, an Operation and Maintenance Manual for the Water Supply Facilities and the Solid Waste Disposal Facilities prepared in accordance with the “Guidelines for Preparing an Operation and Maintenance Manual for Sewage and Solid Waste Disposal Facilities”, October 1996. The Plan shall include a specific section addressing waste management and the proper diversion and segregation of wastes, the storage, transport and disposal of hazardous wastes materials. | Non-Compliance | <ul style="list-style-type: none"> • Status of O&M Manual (Water Supply) to be reviewed during site visit. Report subsequent to visit. • Status of O&M Manual (Water Supply) to be reviewed during site visit. Report subsequent to visit. • Prepare O&M Manuals as required based on site visit findings. | <ul style="list-style-type: none"> • Report status prior to March 15, 2013. • Prepare manual as required and submit prior to July 1st, 2014. | Annual review of status and revise if required. | Amend if required prior to March 31 st submission of Annual Report |
| F-5 | An inspection of all engineered facilities related to the management of water and waste shall be carried out annually in July, by a Geotechnical Engineer in accordance with the Canadian Dam Association, Dam Safety Guidelines, November 2007, where applicable. This inspection shall include the access road alignment with respect to water resources and the diversion and passage of water through culverts. The engineer’s report shall be submitted to the Board within sixty (60) days of the inspection, including a covering letter from the Licensee outlining an implementation plan addressing each of the Engineer’s recommendations. | Non-Compliance | <ul style="list-style-type: none"> • Site visit by geotechnical engineer. | <ul style="list-style-type: none"> • Site visit by geotechnical inspection prior to September 1st, 2013. • Submission of report of geotechnical findings and recommendations. Report submission prior to October 15, 2013. • Implementation of operational changes. June 15, 2014. • Recommend capital improvements by March 31st 2014 | <ul style="list-style-type: none"> • Continue with Annual geotechnical inspections. • Report to Water Board. | <ul style="list-style-type: none"> • Submit report to NWB prior to October 15th 2013. |

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| Part G | <u>Conditions Applying to Abandonment, Restoration and Closure</u> | | | | | |
| G-2 | The Licensee shall submit to the Board, for approval in writing, within six (6) months of issuance of this Licence, a preliminary or conceptual Abandonment and Restoration Plan for the Hamlet of Cape Dorset, Water and Waste Disposal Facilities and all associated structures not covered under Part G, Item 1, with end objectives to return the site to pre-use conditions. | Non-Compliance | <ul style="list-style-type: none"> CGS to initiate the preparation of A&R plan with the assistance of exp | <ul style="list-style-type: none"> Report on scope of plan prior to March 31st, 2013. Follow visit to gather technical information and indentify all potential sites September 1, 2013. Report on follow-up visit by October 15, 2013. | Annual review of need for A&R Plans, and revise if required including schedule for preparation | <ul style="list-style-type: none"> Submit A&R all outstanding Plans March 31st 2015 Amend if required prior to March 31st submission of Annual Report. |
| Part H | <u>Conditions Applying to the Monitoring Program</u> | | | | | |
| H-1 | The Licensee shall maintain Monitoring Program Stations at the SNP locations | Non-Compliance | <ul style="list-style-type: none"> As part of the community visit by exp, a review of monitoring points will be undertaken, including the presence or absence of signage. As part of exp's mandate they will prepare a tender document for procurement of the required signs with installation by Hamlet staff | <ul style="list-style-type: none"> The site visit report by exp which will identify deficiencies and signage for the monitoring sites will be issued prior to March 31st, 2013. Procurement of signage prior to June 30th, 2013 Signs will be installed prior to August 31st, 2013 | Monitoring Stations will be placed on the inspection checklist for the MTO (Municipal Technical Officer) to be indentified if this reverts back to non-compliance INSPECTION REPORT FOR REGULAR INSPECTIONS Inspection report enclosed | Regular inspections by GN staff during community visits |
| H-6 | The Licensee shall install thermistors for the purpose of validating assumptions made in the geothermal analyses for the 2007 Sewage Disposal Facilities as recommended by the Geotechnical Engineer of record and agreed upon by the Licensee, subject to a minimum of three 20 to 25 metre deep thermistors installed in crest of the west berm and at least one thermistor of that depth in the east berm. | Uncertain | <ul style="list-style-type: none"> Report locations and provide descriptions and information Request from the NWB to amend the requirement from 3 thermistors at a depth of 20 -25 metres to 2 thermistors at a depth of 18.8 m | <ul style="list-style-type: none"> Provide information by February 15th, 2013 Request amendment by June 1st 2013 | Provide thermistors as required, based upon findings of field visits and the response from the NWB. | Have NWB accepted thermistors by September 15, 2014, |